

C - Small Curricular Revisions : Entry # 3633**Today's Date**

02/01/2026

Your Name

Christina Griffith

Your Email:cgriffit@highpoint.edu**Department Chair Name**

Christina Griffith

Email address of your department chaircgriffit@highpoint.edu

By checking below I am certifying that my department chair (named above) has seen and approved the courses that are included in this proposal.

Yes

Please select the appropriate school overseeing this proposal:

Business

Does this proposal correspond to a particular term?

Spring, 2027

Course Prefix, Number, & Name

FIN 3001 Financial Certification Prep

Does this course currently count towards Gen Ed curriculum?

No

Which category does this change fall under?

- Course name
- Course description

Changes to course prefix, number, and/or name**Current course prefix, number, & name**

FIN 3001

Proposed new course prefix & number

FIN 3001

Proposed new name

Financial Crt Prep: SIE

Changes to course description(s)

C - Small Curricular Revisions : Entry # 3633**Current Course**

Description (as seen in the UG Bulletin)	Proposed Revised Course Description
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FIN 3001

This course is designed to prepare students to obtain industry recognized financial certifications that will help set HPU students apart in their career search. The Securities Industry Essentials (SIE) exam is a "gateway" exam for those interested in careers in the Financial Services Industry. Two Credits.

Course Prerequisite Changes

Course Prefix & Number	Current Prerequisite(s)	Proposed Prerequisite(s)
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MGT-3280

MGT-2220

ACC-2020

Rationale for above changes

First, we added a second financial certification course (FIN 3002) for Series 65, and we want to clarify that FIN 3001 is for the SIE (Securities Industry Exam) preparation.

Does this change affect any other departments, schools, or programs besides your own? If so, please describe your consultation process with affected parties in the box below.

N/A

Dean Review**[DEAN] I approve/deny this proposal**

Approve

Today's Date

14-02-2026

Name of Dean (if this is being reviewed by multiple deans, please indicate who approved it first here)

Daniel Hall